

# Request for Project Proposals for Cities Investment Facility

This questionnaire was developed by the Cities Investment Facility (CIF) and its Project Selection Committee. The requested information will allow us to carry out a more detailed assessment of your projects, with the aim of defining which ones will eventually enter the Project Portfolio of the CIF.

This form consists of 5 Sections:

1. Project Promoter Details
2. Project Summary (Brief Description, SDG Alignment and ESG Analysis)
3. Project Plan (Stage of Project, Feasibility Study Information, Phases and Timeline, Risk analysis, licenses/permits requirement)
4. Project Finance and Funding Requirements (Estimated Overall Budget, Construction Budget, Development Costs, Investments and financial commitment to date, Investment requested, financial return)
5. Required Documents (Project Budget, Project Schedule, Project Risk Assessment Matrix and Mitigation Plan, Technical Feasibility Study, Environmental and Social Impact Assessment, Project Financial Feasibility and Cash Flow Analysis, Other Documents)

\* Required

## Project Promoter Details

This form will record your project and contact details

1. Contact name \*

2. Contact Email Address \*

3. Region \*

- Africa
- Asia Pacific
- Latin America and the Caribbean
- Western Asia and Arab States

4. Country \*

5. City \*

6. Select the option that best matches your institutional nature \*

- National Government
- State/Provincial Government
- Local/Municipal Government
- Organisations formally linked to Governments
- Non Governmental Organisation
- Private Entrepreneur or Consortium

Other

## Project Summary

Provide details about your the proposed project in brief

### 7. Project Title \*

### 8. Please provide a brief description of the project \*

(Please limit your responses to less than 500 words)

### 9. Does the proposed project align with a City/State/National plan? \*

Yes

No

10. If you answered yes to Question 9, which plan does it align with? \*

City Plan

State Plan

National Plan

Other

11. If you answered yes to Question 9, Please list down the plans the project aligns with? \*

## 12. Choose the appropriate sector(s) for the project \*

- Affordable Housing
- Energy
- Urban Infill and Public Space
- Transport and Mobility
- Roads and Bridges
- Informal Settlements Upgrading
- Waste Management
- Water and Sanitation

 

Other

### 13. Identify the Sustainable Development Goals (SDG) the project aligns with \*

For more information of the SDGs, please visit <https://sdgs.un.org/goals>  
(<https://sdgs.un.org/goals>)

- GOAL 1: No Poverty
- GOAL 2: Zero Hunger
- GOAL 3: Good Health and Well-being
- GOAL 4: Quality Education
- GOAL 5: Gender Equality
- GOAL 6: Clean Water and Sanitation
- GOAL 7: Affordable and Clean Energy
- GOAL 8: Decent Work and Economic Growth
- GOAL 9: Industry, Innovation and Infrastructure
- GOAL 10: Reduced Inequality
- GOAL 11: Sustainable Cities and Communities
- GOAL 12: Responsible Consumption and Production
- GOAL 13: Climate Action
- GOAL 14: Life Below Water
- GOAL 15: Life on Land
- GOAL 16: Peace and Justice Strong Institutions
- GOAL 17: Partnerships to achieve the Goal

14. How does the project contribute to the selected SDGs? Please provide a detailed analysis \*

(Please limit your responses to less than 500 words)

15. Identify and describe the specific problem or need the project seeks to address within the region \*

(Please limit your responses to less than 500 words)



**16. Please list the objectives of the project \***

(Please limit your response to less than 500 words)

**17. Who are the expected beneficiaries of the project? Please indicate the target population and number of people \***

Please indicate the target population and number of people

(Please limit your responses to less than 500 words)

**18. Please highlight how the proposed project is linked to Economic Development as well as Environmental, Social Impact, and Governance (ESG). \***

(Please limit your responses to less than 500 words)

## Project Plan

This section would need you to provide information on the schedule and risks of the project

19. When is the feasibility study expected to begin? (If already begun, please provide date it began) \*

Format: M/d/yyyy

20. When is the feasibility study expected to be completed? (If already completed, please provide date it was completed) \*

Format: M/d/yyyy

21. When is the expected construction start date? \*

Format: M/d/yyyy

22. When is the expected construction end date? \*

Format: M/d/yyyy

23. How many phases does the project have? \*

The value must be a number

24. What is the current phase of the Project? \*

The value must be a number

**25. Briefly describe Phase 1 (Title of phase, expected start and end date, Brief Description) \***

Please answer in the following format:

Phase 1: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

**26. Briefly describe Phase 2 (Title of phase, expected start and end date, Brief Description) \***

Please answer in the following format:

Phase 2: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

**27. Briefly describe Phase 3 (Title of phase, expected start and end date, Brief Description) \***

Please answer in the following format:

Phase 3: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

**28. Briefly describe Phase 4 (Title of phase, expected start and end date, Brief Description) \***

Please answer in the following format:

Phase 4: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>



**29. Briefly describe Phase 5 (Title of phase, expected start and end date, Brief Description)**

Please answer in the following format:

Phase 5: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

### 30. If there are more than 5 phases, please use the box below

Please answer in the following format:

Phase 6: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

Phase 7: <Title>

Expected Start Date: YYYY/MM/DD

Expected End Date: YYYY/MM/DD

Brief Description: <Limit answer to less than 250 words>

### 31. What are the key risks and mitigation plans identified? \*

Please answer in the following format, Starting from Severe to Negligible Impact:

Risk 1: <Title>

Type of risk: <Operational, Institutional, Legal or Regulatory, Market, Liquidity, Financial, Other>

Risk Likelihood: <Very Likely, Likely, Possible, Unlikely, Very Unlikely>

Risk Impact: <Negligible, Minor, Moderate, Significant, Severe>Description: (Limit to less than 250 words)

Mitigation Plan: (Limit to less than 250 words)

Risk 2: <Title>

Type of risk: <Operational, Institutional, Legal or Regulatory, Market, Liquidity, Financial, Other>

Risk Likelihood: <Very Likely, Likely, Possible, Unlikely, Very Unlikely>

Risk Impact: <Negligible, Minor, Moderate, Significant, Severe>

Description: (Limit to less than 250 words)

Mitigation Plan:(Limit to less than 250 words)

32. How does the project mitigate against risk to ensure continuity of operations in the event of a change in government? \*

33. In the event that the development process is negatively impacted through political risks, such as termination or general frustration of the development process, is the applicant committed to guarantee repayment of the individual CIF stakeholders' capital contributions up to the point where development is terminated, on a dollar-for-dollar basis? \*

Yes

No

34. What permits/licenses are needed to operate? What is the current status of the required permits? \*

Please answer in the following format:

Permit/License: <Title>

Status: <Obtained, Underway or Need to Apply>

Expected Application Date: YYYY/MM/DD

Expected Date to Obtain: YYYY/MM/DD

Brief Description:<Limit answer to less than 250 words>

35. Would you be available, ready, and willing to facilitate any requests during the development process which includes regional (and national if required) meetings with relevant government stakeholders? \*

Yes

No

36. Is the applicant prepared to support and facilitate, to the fullest extent, the procurement, at a national or regional level, the needed permits, licenses, rights of way, etc. expeditiously? \*

Yes

No

## Project Finance and Funding Requirements

This section would need you to provide information on Project Budget and Costs

37. What is the total estimated budget for the Project? \*

(In US \$)

The value must be a number

38. What is the anticipated construction budget for the Project? \*

(In US \$)

The value must be a number

39. What is the anticipated development budget for the project prior to construction? \*

(These exclude construction costs and may consider, for example, the costs of completing feasibility assessment, licensing, permits, designs, legal work, etc.) (In US\$)

The value must be a number

40. What is the anticipated annual operating and maintenance expenditure for the first year of operations? \*

(In US\$)

The value must be a number

41. How much investment has been made to-date towards the development of the project? \*

(In US \$)

The value must be a number



42. Please state the expected sources of funds for the project

- Sponsor Contribution (%)
- Private Sector Equity Investment (%)
- Private Sector Debt Investment (%)
- Government contribution (%)
- Other (please specify) (%)

What portion of these funds have already been committed? (%)

43. Of the amount of investment made towards the development of the project, how much was sourced through technical assistance?

44. Does the project have demonstrable support (financial) from government leadership at the national and/or subnational levels? \*

Yes

No

45. If you answered no to Question 44, is the government leadership at the national and/or subnational levels planning to provide demonstrable support (financial)? \*

Yes

No

46. If you answered yes to either question 44 or 45, what is the amount Committed (or planned to commit) by Local/State/National Government? \*  
(In US \$)

The value must be a number

47. If you answered yes to either question 44 or 45, which level of government leadership has committed the funding? \*

National

State

Local

48. If you answered yes to either question 44 or 45, please provide the name of the institution(s), date the commitment was made, key contact person(s) and contact details \*

49. Besides financial support, what other support has the government offered/willing to offer? \*

(Please limit your answer to 500 words)

50. Please state the legal entity that will be receiving the investment \*

Government Department

Private Developer

Special Purpose Vehicle

Other

51. If you answered Special Purpose Vehicle, please state the Vehicle and the expected equity ownership \*

52. If you answered government department, please name the department which will receive the investment \*

53. If you answered government department, is it anticipated that the project will be tendered out, on a PPP basis, to private funders upon the completion of development? \*

- Yes
- No

54. If you answered yes to Question 53, when is the process of tendering expected to begin? (If already begun, please provide date it began) \*

Format: M/d/yyyy

55. If you answered Private Developer, please name the entity(s) which will receive the investment \*

56. Amount of Investment Requested through CIF \*

(In US\$)

The value must be a number

57. Has your government/department/organisation carried out a PPP project before? \*

Yes

No

58. If you answered yes to Question 57, please describe the project implementation and success \*

(Please limit your answer to 500 words)

59. Explain how the project may be able to generate financial returns \*

(Please limit your answer to 500 words)

**60. What does the applicant anticipate is a fair charge for the service? \***

Please respond with the maximum price the market can bear - for example, a charge of no more than \$x (tariff/fee/service charge)

The value must be a number

**61. What does the applicant believe is a fair return (IRR) to the equity investor? \***

In %

The value must be a number

**62. Is a study and/or financial model available that confirms the above are achievable? \***

Yes

No



63. How would you rate the project's need for the following mechanisms? \*

< Essential: the project is not viable without the mechanism; Welcome: it would help to improve economic performance; Not necessary >

	Essential	Welcome	Not Necessary
Tax Incentives	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regulatory Incentives	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Guarantees	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Insurance/Reinsurance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

64. If you have marked any of the above items as essential, please describe the degree of fulfillment of each item. \*

(Please limit your answer to 500 words)

65. Should the project require any tax/regulatory incentives or any other general waivers to reduce construction and operating costs as a way to achieve economic viability, is the applicant willing and committed to work regionally and nationally to ensure they are implemented for the benefit of the project? \*

Yes

No

66. Would you be willing to facilitate required investor guarantees for the first few projects built in your region, which can include sovereign guarantees and/or a willingness to implement other risk mitigation instruments, so that investors are comfortable with the political and payment risk? \*

Yes

No

67. Is the country within which the project located willing to establish a form of sovereign guarantee over the subnational governing body's / offtaker's contractual obligations in order to protect the equity and debt capital providers in the event of a breach of contract? \*

Yes

No

68. Is there any precedence within the country to date that has established the framework required to implement a sovereign guarantee? \*

Yes

No

69. If you answered yes to Question 68, please provide a brief description \*  
(Please limit your responses to less than 500 words)

### 70. How would you assess the suitability of the following asset classes for your project? \*

< Suitable and desirable; Suitable but not desirable; Not suitable >

	Suitable and Desirable	Suitable but not desirable	Not Suitable
Loan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Bond	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Equity Share	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Public Service Concession	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Grant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

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# Documents Submission form for CIF Open Call 2021

Please provide all the essential documents as stated below. If you need to provide any additional documents that you would like to present to the Project Selection Committee of your initiative for further consideration, please compress the files into a single zip file and upload

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**\*Required**

1. Email \*

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2. Project Name \*

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3. Provide proof of Government Funding/Commitment \*

(Please provide a notarized letter of support from the National/Provincial/Local Government)

Files submitted:

4. Project Budget \*

Files submitted:

5. Project Schedule \*

Files submitted:

6. Project Risk Assessment Matrix and Mitigation Plan \*

Files submitted:

7. Project Technical Feasibility Study

Files submitted:

8. Project Environmental and Social Impact Assessment

Files submitted:

9. Project Financial Feasibility and Cash Flow Analysis

Files submitted:

10. Are there any additional documents that you would like to present to the Project Selection Committee of your initiative for further consideration? Please compress the files into a single zip folder and upload

Files submitted:

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